

FORM PTO-1390  
OFFICE  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. § 371**

449122008800

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Not yet Assigned

INTERNATIONAL APPLICATION NO.  
PCT/EP00/00242

INTERNATIONAL FILING DATE  
13 January 2000

PRIORITY DATE CLAIMED  
29 January 1999

TITLE OF INVENTION  
**METHOD FOR NON-CONTACT DATA TRANSMISSION AND TRANSPONDER FOR A NON-CONTACTING DATA TRANSMISSION SYSTEM**

APPLICANT(S) FOR DO/EO/US

Gerhard SCHRAUD et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: 1. Application Data Sheet 2. IPER 3. Intl Search Report 4. Return receipt postcard.

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand delivered with the United States Patent and Trademark Office in Washington, D.C. on July 26, 2001.

LaVone Whetstone

U.S. APPLICATION NO. (if known, see PCT Article 17) **09/890147**

INTERNATIONAL  
APPLICATION NO. PCT/EP00/00242

ATTORNEY'S DOCKET  
NUMBER: 449122008800

21. ☒ The following fees are submitted:

**CALCULATIONS  
PTO USE ONLY**

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....\$1,000.00  
International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO.....\$860.00  
International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$710.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provision of PCT Article 33(1)-(4) .....\$690.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date (37 CFR 1.492(e)).

\$0

**CLAIMS**

**NUMBER FILED**

**NUMBER EXTRA**

**RATE**

**\$0**

Total claims 24 - 20 =

4

x \$18.00

\$72.00

Independent claims

2 - 3 =

0

x \$80.00

\$0

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$270.00

\$270.00

**TOTAL OF ABOVE CALCULATIONS =**

\$1202.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced  
by 1/2.

\$0

**SUBTOTAL =**

\$1202.00

Processing fee of \$130.00 for furnishing the English translation later than  
☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$0

**TOTAL NATIONAL FEE =**

\$1202.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00 per property**

+

\$40.00

**TOTAL FEES ENCLOSED =**

\$1242.00

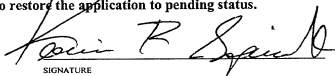
**Amount  
to be  
refunded:  
\$**  
**charged: \$**

- a. ☒ Please charge my Deposit Account No. 03-1952 in the amount of \$1,242.00 to cover the above fees. A duplicate copy  
of this sheet is enclosed.
- b. ☒ The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to  
Deposit Account No. 03-1952.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
(37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kevin R. Spivak  
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SIGNATURE

Kevin R. Spivak  
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